

## Regulatory

Our dealership is FundEX Investments Inc. FundEX Investment Inc. is a member of the MFDA (Mutual Funds Dealers Association). Their primary purpose is to provide us with processing and compliance services for our investment business [www.fundex.com](http://www.fundex.com)

Our MGA is Financial Management North in Edmonton, Alberta, and provides us with processing and compliance services for our insurance business.

### Regulatory Bodies

- Fundex - [www.fundex.com/](http://www.fundex.com/)
- MFDA - [www.mfda.ca/](http://www.mfda.ca/)
- Alberta Insurance Council - [www.abccouncil.ab.ca/](http://www.abccouncil.ab.ca/)
- Alberta Securities Commission - [www.albertasecurities.com](http://www.albertasecurities.com)

### Mutual and Investment Companies

### Insurance Companies